

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Jeffrey C. Hawkins, et al.
APPLICATION NO.: 09/976,475
FILING DATE: October 12, 2001
TITLE: Integrated Personal Digital Assistant Device
EXAMINER: Sam Bhattacharya
GROUP ART UNIT: 2617
ATTY. DKT. NO.: 24772-05940

MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
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ALEXANDRIA, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☒ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a

final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(d); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
JEFFREY C. HAWKINS, ET AL.

Dated: April 19, 2007

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	09/976,475
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First Named Inventor	Jeffrey C. Hawkins
Art Unit	2617
Examiner Name	Sam Bhattacharya
Attorney Docket Number	24772-05940

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-7,155,521	12-26-2006	Lahti et al.
	A2	US-RE39,427	12-12-2006	O'Sullivan
	A3	US-7,136,897	11-14-2006	Raghunandan
	A4	US-7,061,403	06-13-2006	Fux
	A5	US-7,054,441	05-30-2006	Pletikosa
	A6	US-6,961,584	11-01-2005	Leedom, Jr.
	A7	US-6,957,397	10-18-2005	Hawkins
	A8	US-6,934,558	08-23-2005	Sainton et al.
	A9	US-6,919,879	07-19-2005	Griffin et al.
	A10	US-6,891,529	05-10-2005	Ladouceur et al.
	A11	US-6,874,011	03-29-2005	Spielman et al.
	A12	US-6,873,317	03-29-2005	Griffin et al.
	A13	US-6,867,763	03-15-2005	Griffin et al.
	A14	US-6,857,105	02-15-2005	Fox et al.
	A15	US-6,665,173	12-16-2003	Brandenberg et al.
	A16	US-6,611,255	08-26-2003	Griffin et al.
	A17	US-6,611,254	08-26-2003	Griffin et al.
	A18	US-6,587,132	07-01-2003	Smethers
	A19	US-6,489,950	12-03-2002	Griffin et al.
	A20	US-6,452,588	09-17-2002	Griffin et al.
	A21	US-6,421,707	07-16-2002	Miller et al.
	A22	US-2002/0090934 A1	07-11-2002	Mitchelmore
	A23	US-2002/0063738 A1	05-30-2002	Chung
	A24	US-6,396,482	05-28-2002	Griffin et al.
	A25	US-2002/0058533 A1	05-16-2002	Nagel et al.
	A26	US-6,377,685	04-23-2002	Krishnan
	A27	US-2002/0036623 A1	03-28-2002	Kano

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

24772/05940/DOCS/1701414.1

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Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A28	US-6,310,926	10-30-2001	Tore
	A29	US-6,297,795	10-02-2001	Kato et al.
	A30	US-6,160,926	12-12-2000	Dow et al.
	A31	US-6,052,735	04-18-2000	Ulrich et al.
	A32	US-6,049,796	04-11-2000	Siitonen et al.
	A33	US-6,022,156	02-08-2000	Blish
	A34	US-6,006,274	12-21-1999	Hawkins et al.
	A35	US-6,005,496	12-21-1999	Hargreaves et al.
	A36	US-5,949,408	09-07-1999	Kang et al.
	A37	US-5,938,772	08-17-1999	Welch
	A38	US-5,926,170	07-20-1999	Oba
	A39	US-5,798,759	08-25-1998	Dahl
	A40	US-4,931,783	06-05-1990	Atkinson
	A42	US-4,799,254	01-17-1989	Dayton et al.
	A43	US-3,573,376	04-06-1971	Bartlett et al.

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ^{6A}
	B1	EP 1071029 A2	01-24-2001	Phone.Com Inc.	
	B2	EP 933908 A2	08-04-1999	Nokia Mobile Phones Ltd.	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	C1	BASTERFIELD, D., "The IBM PalmTop PC110," January 30, 2000, pp. 1-3.	

Examiner Signature		Date Considered	
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	C2	Blackberry Handheld Installation & Getting Started Guide, © 2002 Copyright by Research in Motion Limited, 295 Philip Street, Waterloo, Ontario, Canada N2L 3W8. Revised February 27, 2003, pages 39-41. (www.rim.net)	
	C3	DISABATINO, J., "Hands Full of Information," Computerworld, November 6, 2000, p. 68.	
	C4	Microsoft, "The Windows Interface an Application Design Guide," 1987, pp. 84-87, Figures 1-6.	
	C5	Motorola V60i Wireless Phone User Manual, Personal Communications Sector, Motorola, Inc., 600 North U.S. Highway 45, Libertyville, Illinois (www.motorola.com), pages 1 and 53. url=http://www.motorola.com/mdirect/manuals/v60i_TDMA_User_Manual_E.pdf	
	C6	Motorola Series 60g Wireless Phone User Manual, © 2001 Motorola, Inc., published by the Personal Communications Sector, 600 North U.S. Highway 45, Libertyville, Illinois (www.motorola.com), pages 1-3.	
	C7	Motorola Model V100 User's Guide, pp. 1, 2, 17-19, 33.	
	C8	Motorola Model 009 User's Guide, pp. 1, 3, 22, 23, 80.	
	C9	Motorola Model 009 "Let's Start" Guide, pp. 1, 3, 11.	
	C10	Newton MessagePad Handbook, © 1994 Apple Computer, Inc., 1 Infinite Loop, Cupertino, CA, pages 14-19, 22 and 23. (www.apple.com)	
	C11	ORUBEONDO, A., "The New Shape of Mobile Communication," InfoWorld, April 23, 2001, pp. 65-66.	
	C12	PALENCHE, J., "New Phones Deliver PIM Function," Twice, October 9, 2000, p. 44.	
	C13	Photograph of Motorola 009 from 3GNewsroom.com.	
	C14	PINKERTON, J., "Wireless Meets Computing Again," Dealerscope, November 2000, pp. 34-35.	
	C15	PROGUE, D., "PalmPilot: The Ultimate Guide," O'Reilly, 2 nd Edition, Chapter 1-4.	
	C16	SyncML Sync Protocol, version 1.0.1, 2001, pp. 1-61.	
	C17	United States Court of Appeals for the Federal Circuit: Wireless Agents LLC v. Sony Ericsson Mobile Communications AB and Sony Ericsson Mobile Communications (USA), Inc., Decided July 26, 2006, 7 pages.	

Examiner Signature		Date Considered	
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